

Image



Express Mail Label No.: EV 312709775 US
Date of Deposit: November 12, 2003

#632

Attorney Docket No.: 21486-047

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: *Wands, et al.*

SERIAL 09/872,968
NUMBER:

EXAMINER: Deborah Crouch

FILING DATE: June 1, 2001

ART UNIT: 1632

FOR: INHIBITION OF NEURODEGENERATION

Mail Stop IDS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

1. Supplemental Information Disclosure Statement (2 pages), in duplicate;
2. Modified Form 1449/PTO (1 page), in duplicate;
3. Copies of Cited Reference AE; BD and CL-CO;
4. Check in the amount of \$180 (#17525); and
5. Return Postcard.

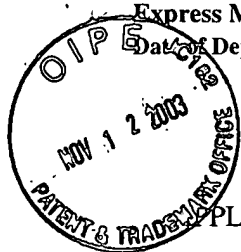
If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 21486-047. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Ingrid A. Beattie (Registration No. 42,306)
Attorneys for Applicants
c/o MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY AND POPEO, P.C.
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Date: November 12, 2003



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: *Wands, et al.*

SERIAL 09/872,968
NUMBER:

EXAMINER: Deborah Crouch

FILING DATE: June 1, 2001

ART UNIT: 1632

FOR: INHIBITION OF NEURODEGENERATION

Mail Stop IDS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. The fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by

35 U.S.C. §102

11/20/2003 DTESSEH1 00000126 09872968

01 FC:1806

180.00 DP

✓ APPLICANTS: Wands, et al.
U.S.S.N.: 09/872,968

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21486-047.

Respectfully submitted,

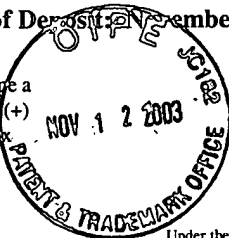


Ingrid A. Beattie, Reg. No. 42,306
MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
Address all written correspondence to
Customer No.: 30623
Tel: (617) 542-6000
Fax: (617) 542-2241

Date: November 12, 2003

TRA 1851266v1

Date of Deposit: November 12, 2003

Please type a
plus sign (+)
in this box

PTO/SB (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Modified Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	09/872,968
	Filing Date	June 1, 2001
	First Named Inventor	Wands
	Group Art Unit	1632
	Examiner Name	Deborah Crouch
	Attorney Docket Number	21486-047

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	AE	5,948,634	09/07/99	De la Monte, et al.	435	69.1	

U.S. PUBLISHED APPLICATION DOCUMENTS							
Exam Initials	Cite No.	U.S. Published Application No.	Published Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS					
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No
	BD	WO 98/39967	THE GENERAL HOSPITAL CORPORATION	09/17/98	Yes

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	CL	Kahle, et al., "Combined Assessment of Tau and Neuronal Thread Protein in Alzheimer's Disease CSF", <i>Neurology</i> , 54:1498-1504 (2000).
	CM	Köiv, et al., "Evidence for Ternary Complex Formation by Histone H1, DNA, and Liposomes", <i>Biochemistry</i> , 34:8018-8027 (1995).
	CN	Zelphati, et al., "Mechanism of Oligonucleotide Release from Cationic Liposomes", <i>Proc. Natl. Acad. Sci. USA</i> , 93:11493-11498 (1996).
	CO	Zhao, et al., "Alternative Pulmonary Defense in Transgenic Mice Deficient in NOS Isozymes by Gene-Knockout Technique", Abstract, <i>FASEB Journal</i> , 3(5):A1015 (1999).

* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.